

# **CIVIL AVIATION GUIDANCE MATERIAL – 6008(V)**

# ELECTRONIC FLIGHT BAG

CIVIL AVIATION AUTHORITY OF MALAYSIA

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# Introduction

This Civil Aviation Guidance Material 6008 Part III (CAGM – 6008 (III)) is issued by the Civil Aviation Authority of Malaysia (CAAM) to provide guidance for the application for, and approval for operations with Electronic Flight Bags (EFBs), pursuant to Civil Aviation Directives 6 Part 1 – Commercial Air Transport (CAD 6 Part 1 – CAT), Civil Aviation Directives 6 Part 2 – General Aviation (CAD 6 Part 2 – GA) Civil Aviation Directives 6 Part 3 - Helicopters (collectively referred to as "CAD").

Organisations may use these guidelines to ensure compliance with the respective provisions of the relevant CAD's issued. Notwithstanding the Regulation 204 and Regulation 205 of the Malaysian Civil Aviation Regulations 2016 (MCAR 2016), when the CAGMs issued by the CAAM are complied with, the related requirements of the CAD's may be deemed as being satisfied and further demonstration of compliance may not be required.

200 (Captain Chester Voo Chee Soon)

Chief Executive Officer Civil Aviation Authority of Malaysia



# **Civil Aviation Guidance Material components and Editorial practices**

This Civil Aviation Directive is made up of the following components and are defined as follows:

**Standards:** Usually preceded by words such as *"shall"* or *"must"*, are any specification for physical characteristics, configuration, performance, personnel or procedure, where uniform application is necessary for the safety or regularity of air navigation and to which Operators must conform. In the event of impossibility of compliance, notification to the CAAM is compulsory.

**Recommended Practices:** Usually preceded by the words such as "*should*" or "*may*", are any specification for physical characteristics, configuration, performance, personnel or procedure, where the uniform application is desirable in the interest of safety, regularity or efficiency of air navigation, and to which Operators will endeavour to conform.

**Appendices:** Material grouped separately for convenience, but forms part of the Standards and Recommended Practices stipulated by the CAAM.

**Definitions:** Terms used in the Standards and Recommended Practices which are not selfexplanatory in that they do not have accepted dictionary meanings. A definition does not have an independent status but is an essential part of each Standard and Recommended Practice in which the term is used, since a change in the meaning of the term would affect the specification.

**Tables and Figures:** These add to or illustrate a Standard or Recommended Practice, and which are referred to therein, form part of the associated Standard or Recommended Practice and have the same status.

**Notes:** Included in the text, where appropriate, Notes give factual information or references bearing on the Standards or Recommended Practices in question but not constituting part of the Standards or Recommended Practices;

**Attachments:** Material supplementary to the Standards and Recommended Practices or included as a guide to their application.

It is to be noted that some Standards in this Civil Aviation Directive incorporates, by reference, other specifications having the status of Recommended Practices. In such cases, the text of the Recommended Practice becomes part of the Standard.

The units of measurement used in this document are in accordance with the International System of Units (SI) as specified in CAD 5. Where CAD 5 permits the use of non-SI alternative units, these are shown in parentheses following the basic units. Where two sets of units are quoted it must not be assumed that the pairs of values are equal and interchangeable. It may, however, be inferred that an equivalent level of safety is achieved when either set of units is used exclusively.

Any reference to a portion of this document, which is identified by a number and/or title, includes all subdivisions of that portion.

Throughout this Civil Aviation Directive, the use of the male gender should be understood to include male and female persons



# **Record of revisions**

Revisions to this CAGM shall be made by authorised personnel only. After inserting the revision, enter the required data in the revision sheet below. The *'Initials'* has to be signed off by the personnel responsible for the change.

ISS/REV No.	<b>Revision Date</b>	Revision Details	Initials
ISS/REV No. ISS01/REV01	15 <sup>th</sup> November	Refer to Summary of Changes	
	2021		

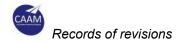


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# Summary of Changes

ISS01/REV01 Subchapter 2.6 Added further clarification and guidance on Type A and Type B applicatins   Attachment A Removed and link provided.   Attachment C Removed and link provided.   Attachment C Removed and link provided.   ISS01/REV01 ISS01/REV01   Attachment C Removed and link provided.   ISS01/REV01 ISS01/REV01   Attachment C Removed and link provided.   ISS01/REV01 ISS01/REV01   ISS01/REV01	ISS/REV No.	ltem no.	Revision Details
	ISS01/REV01	Subchapter 2.6	Added further clarification and guidance on Type A and Type B applicatins
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# 1 General

#### 1.1 Background

- 1.1.1 An Electronic Flight Bag or EFB is defined by ICAO as "An electronic information system, comprised of equipment and applications for flight crew, which allows for storing, updating, displaying and processing of EFB functions to support flight operations or duties."
- 1.1.2 This CAGM provides the guidance to understand the intent and objectives of the requirements for the performance of operational evaluation of the EFB system and its commonly used functions; and, where appropriate, enables the operator to seek the grant operational approval from CAAM.

#### 1.2 Definitions

**AID (Aircraft Interface Device)** means a device or function that provides an interface between the EFBs and other aircraft systems which protects the aircraft systems and related functions from the undesired effects from noncertified equipment and related functions.

**Airworthiness inspector (AWI)** is a representative of the Civil Aviation Authority of Malaysia in charge of initial authorisation and/or continued oversight of the operator's maintenance and engineering organisation and processes. The assessment performed by the AWI may include (but not be limited to):

- a) the adequacy of maintenance facilities, equipment and procedures;
- b) the adequacy of the training programmes and competence of employees;
- c) the adequacy of the programme or schedule for periodic maintenance and overhauls; and
- d) the airworthiness of the aircraft.

**AMMD (Airport Moving Map Display)** means a software application displaying airport maps and using a navigation source to depict the aircraft current position on this map while on ground.

**Controlled Portable Electronic Device (C-PED)** is a PED subject to administrative control by the operator using it. This will include tracking the allocation of the devices to specific aircraft or persons and ensuring that no unauthorised changes are made to the hardware, software or database, among other things.

**Critical phases of flight** mean the periods of high workload on the flight deck, which includes all ground operations involving taxi, takeoff and landing; all other flight operations conducted below 10,000 feet, and when handling abnormal situations.

**Data connectivity for EFB systems** mean data connectivity for EFB system supports either uni- or bi-directional data communication between the EFB and other aircraft systems (e.g. avionics). Direct interconnectivity between EFBs or direct connectivity between EFBs and ground systems are not covered by this definition.



**EFB administrator** is a person appointed by the operator, held responsible for the administration of the EFB system within the company. The EFB administrator is the primary link between the operator and the EFB system and software suppliers.

**EFB host platform** is the equipment (i.e. hardware) in which the computing capabilities and basic software (e.g. operating system, input/output software) reside.

**EFB management** contains all procedures related to the operator's EFB management system as listed in the section "EFB management".

**EFB risk assessment and mitigation** is a process that considers an EFB system, its software applications, and its integration inside a specific aircraft, to identify the potential malfunctions and failure scenarios, analyse their operational repercussions, and, if necessary, propose mitigation means.

**EFB software application** is a software installed on an EFB system that allows specific operational functionality.

**EFB system** comprises the hardware (including any battery, connectivity provision, input/output (I/O) devices) and software (including databases) needed to support the intended EFB function(s).

**EFB system supplier** is the company responsible for developing, or for having developed, the EFB system or part of it. The EFB system supplier is not necessarily a host platform or aircraft manufacturer.

*Flight operations inspector (FOI)* Is a representative of the Civil Aviation Authority of Malaysia in charge of initial authorisation and/or continued oversight of the operator's flight operations organisation and processes. The assessment performed by the FOI may include (but not be limited to):

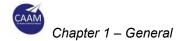
- a) the adequacy of flight operations facilities, equipment and procedures;
- b) the adequacy of the training programmes and competence of employees; and
- c) the adequacy of the programme to ensure safe operations of the aircraft.

**Minor failure conditions** are failure conditions which would not significantly reduce aircraft safety, and which involve crew actions that are well within their capabilities. Minor failure conditions may include, for example, a slight reduction in safety margins or functional capabilities, a slight increase in crew workload, such as routine flight plan changes, or some physical discomfort to passengers or cabin crew.

**Mounting device** is an aircraft certified part which secures portable or installed EFB, and/or its system components.

**Installed resources** are hardware/software installed in accordance with airworthiness requirements.

**Independent EFB platforms** mean multiple EFBs that are designed in such a way that no single failure makes all of them unavailable.



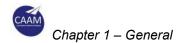
Portable Electronic Devices (PEDs) mean any lightweight, electrically powered equipment, that are typically consumer electronic devices, which have functional capability for communications, entertainment, data processing, and/or utility. There are two basic categories of PEDs - those with and those without intentional transmitting capability.

Transmitting PED (T-PED) is a PED that has intended radio frequency transmission capabilities.

Viewable Stowage is a device that is secured either on the flight crew (e.g. kneeboard) or in/to an existing aircraft part (e.g. mounted using suction cups) with the intent of holding a portable EFB (e.g. a tablet) viewable to the pilot at his duty station. The device is not necessarily part of the certified aircraft configuration.

#### 1.3 **Abbreviations**

AID	=	Aircraft Interface Device
AMMD	=	Aircraft Moving Map Display
AODB	=	Airport, runway, Obstacle Database
AWI	=	Airworthiness Inspector
CAAM	=	Civil Aviation Authority of Malaysia
CAD	=	Civil Aviation Directive
ECL	=	Electronic check list
EFB	=	Electronic Flight Bag
EMC	=	electromagnetic compatibility
EMI	=	electromagnetic interference
FOI	=	Flight Operations Inspector
GUI	=	Graphics User Interface
HMI	=	Human Machine Interface
IFW	=	in-flight weather
M&B	=	Mass & Balance
PED	=	Portable Electronic Device
RWY	=	runway
SCAP	=	standard computerised aircraft performance
SOP	=	standard operating procedure
STC	=	supplemental type certificate
TACS	=	taxi aid camera system
TALP	=	take-off and landing performance
ТС	=	type certificate
T-PED	=	transmitting portable electronic device
ТОМ	=	takeoff mass
ZFM	=	zero fuel mass



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# 2 Equipment/Hardware Considerations

#### 2.1 Types of EFB

- 2.1.1 EFBs can be either portable EFBs or installed EFBs.
- 2.1.2 Portable EFBs are not part of the aircraft configuration and are considered as PEDs. They generally have self-contained power and may rely on data connectivity to achieve full functionality. Modifications to the aircraft to use portable EFBs require the appropriate airworthiness approvals.
- 2.1.3 Installed EFBs are integrated into the aircraft and are subject to airworthiness requirements. The approval of these EFBs is included in the aircraft type certificate (TC) or the supplemental type certificate (STC).

#### 2.2 EFB Hardware (Portable EFB)

- 2.2.1 A portable EFB provides a portable host platform, although when used on the flight deck, it is not part of the certified aircraft configuration. Portable EFBs can be used either as hand-held equipment or secured in a mounting device / viewable stowage solution.
- 2.2.2 The following are characteristics of a Portable EFB:
  - a) It can be operated inside and outside the aircraft.
  - b) A portable EFB hosts type A and/or type B EFB software applications. In addition, it may host miscellaneous (non-EFB) software applications.
  - c) The mass, dimensions, shape, and position of the portable EFB should not compromise flight safety.
  - d) A portable EFB may connect to aircraft power, data ports (wired or wireless) and installed antennas provided those connections have been installed in a certified and approved manner. (see 2.5.4)
  - e) If secured with viewable stowage, the portable EFB should be easily removable without the use of tools by the flight crew and the attachment or removal does not constitute a maintenance action.
  - f) A portable EFB may be part of a system containing EFB installed resources which are part of the certified aircraft configuration.
  - g) When a portable EFB is a T-PED, the conditions for use of its transmitting capability are established in the approved Aircraft Operating Manual (AOM). In absence of information in the AOM, the EFB transmitting capability may be allowed during non-critical phases of the flight (subject to requirements as specified in 2.3.5 of this CAGM.
  - Portable EFBs may be used in all phases of the flight if secured to a certified mount or securely attached to a viewable stowage device in a

manner which allows its normal use. EFBs not meeting this requirement, should be stowed during critical phases of flight.

- i) The portable EFB and its operating components should be easily accessible by the flight crew members in the flight compartment.
- j) Portable EFBs are controlled PEDs.
- k) Any EFB component that is either not accessible in the flight crew compartment by the flight crew members or not removable by the flight crew, should be installed as 'certificated equipment' and be approved by the CAAM.

#### 2.3 Evaluation of portable EFBs

- 2.3.1 Physical Characteristics
- 2.3.1.1 The size and practicality of the EFB should be evaluated as some devices may prove to be cumbersome for normal use on a flight deck.
- 2.3.2 Readability
- 2.3.2.1 The EFB data should be legible under the full range of lighting conditions expected on the flight deck, including direct sunlight.
- 2.3.2.2 Placement of the display
  - a) The EFB display and any other element of the EFB system should be placed in such a way that they do not unduly impair the pilot's external view during all phases of the flight. Equally, they should not impair the view and access to any cockpit control or instrument
  - b) The location of the display unit and the other EFB system elements should be assessed for impact on egress requirements.
  - c) When the EFB is in use (intended to be viewed or controlled), its display should be within 90 degrees on either side of each pilot's line of sight.
  - d) Glare and reflection on the EFB display should not interfere with the normal duties of the flight crew or unduly impair the legibility of the EFB data.
    - i. The EFB data should be legible under the full range of lighting conditions expected on a flight crew compartment, including use in direct sunlight.
  - e) In addition, consideration should be given to the potential for confusion that could result from presentation of relative directions when the EFB is positioned in an orientation inconsistent with that information. For example, it may be misleading if the aircraft heading is pointed to the top of the display and the display is not aligned with the aircraft longitudinal axis. This does not apply to charts that are presented in a static way (e.g. with no

HMI mechanisation such like automatic repositioning), and that can be considered as similar to paper charts.

- 2.3.2.3 Display characteristics.
  - a) Consideration should be given to the long-term display degradation as a result of abrasion and ageing.
  - b) Users should be able to adjust the screen brightness of an EFB independently of the brightness of other displays on the flight crew compartment. In addition, when incorporating an automatic brightness adjustment, it should operate independently for each EFB in the flight crew compartment. Brightness adjustment using software means may be acceptable providing that this operation does not affect adversely the crew workload.
  - c) Buttons and labels should have adequate illumination for night use. 'Buttons and labels' refer to hardware controls located on the display itself.
  - d) The 90-degree viewing angle on either side of each pilot's line of sight, may be unacceptable for certain EFB applications if aspects of the display quality are degraded at large viewing angles (e.g. the display colours wash out or the displayed colour contrast is not discernible at the installation viewing angle).
- 2.3.3 Environmental
- 2.3.3.1 The EFB has to be operable within the foreseeable cockpit operating conditions including probable high/low temperatures, and after rapid depressurisation if the EFB is intended for use in such an event.
- 2.3.3.2 Environmental testing
- 2.3.3.2.1 Environmental testing, in particular testing for rapid depressurisation, may need to be performed when the EFB host applications that are required to be used during flight following a rapid depressurisation, and/or when the EFB environmental operational range is potentially insufficient with respect to the foreseeable cockpit operating conditions. However, since many portable EFB devices were originally consumer electronic systems accepted for aviation use, testing done on a specific EFB model configuration may be applied to other aircraft installations and these generic environmental tests may not need to be duplicated. The operator should collect and retain:
  - a) evidence of these tests that have already been accomplished; or
  - b) suitable alternate procedures to deal with the total loss of the EFB system.
- 2.3.3.2.2 Testing for rapid depressurisation may need to be repeated when the EFB model identification changes, or the battery type is changed.

- 2.3.3.2.3 This testing is not equivalent to a full environmental qualification. Operators should account for the possible loss or erroneous functioning of the EFB in abnormal environmental conditions.
- 2.3.3.2.4 The safe stowage and the use of the EFB under any foreseeable cockpit environmental conditions, including turbulences, should be evaluated.
- 2.3.4 Basic non-interference testing
- 2.3.4.1 As previously noted, portable EFBs are considered to be PEDs. As such, any reference to PEDs in this section also applies to portable EFBs.
- 2.3.4.2 EFB devices intended to be used in all phases of flight should demonstrate that they meet environmental standards for radiated emissions for equipment operating in an airborne environment. Installed EFBs will be required to demonstrate non-interference with other aircraft systems as part of their certification process.
- 2.3.4.3 To operate a portable EFB during flight, the user/operator is responsible for ensuring that the EFB will not interfere in any way with the operation of aircraft equipment. The following methods are means to test portable EFBs that are to remain powered (including being in standby mode) throughout the flight, to ensure that they will not electromagnetically interfere with the operation of aircraft equipment:
  - a) Method 1:
    - Step 1 is to conduct an EMI test in accordance with ED-14()/DO-1) 160(), section 21, category M. An EFB vendor or other source can conduct this Step 1 test for an EFB user/operator. An evaluation of the results of the ED-14()/DO-160() EMI test can be used to determine if an adequate margin exists between the EMI emitted by the PED and the interference susceptibility threshold of aircraft equipment. If Step 1 testing determines that adequate margins exist for all interference (both front door and back door susceptibility), then Method 1 is complete. It is necessary to complete Step 2 testing if Step 1 testing identifies inadequate margins for interference, or either front door or back door susceptibility. (Front door emissions couple to aircraft system antennas by means of propagation through aircraft apertures such as doors and windows. Back door emissions couple to aircraft equipment, wires, and cables).
    - 2) Step 2 testing is specific to each aircraft model in which the PED will be operated. Test the specific PED equipment in operation on the aircraft to show that no interference of aircraft equipment occurs from the operation of the PED. Step 2 testing is conducted

in an actual aircraft, and credit may be given to other similarly equipped aircraft of the same make and model as the one tested.

#### b) Method 2:

- 1) As an alternative, Step 2 of Method 1 can be used directly in order to determine non-interference of the EFB.
- 2.3.5 Additional Testing for Transmitting Portable EFBs and Other Transmitting PEDs
- 2.3.5.1 To activate the transmitting function of a portable EFB or other PED during flight in conditions other than those that may be already certified at aircraft level (e.g. tolerance to specific transmitting PED models) and hence documented in the aircraft flight manual or equivalent, the user/operator is responsible to ensure that the device will not interfere with the operation of the aircraft equipment in any way. The following is an accepted method to test portable EFBs and PEDs that are to remain powered (including being in standby mode) during flight. This test consists of two separate test requirements:

#### a) Test Requirement 1:

 Each T-PED model should have an assessment of potential electromagnetic interferences (EMI) based on a representative sample of the frequency and power output of the T-PED. This EMI assessment should be in accordance with applicable processes set forth in ED-130()/DO-294(). The applicable DO-160() section 21 Category to be considered in the ED-130() process for an EFB used as a T-PED, is Cat M. This EMI assessment should confirm that no interference with aircraft equipment will occur as a result of intentional transmissions from these devices.

#### b) Test Requirement 2:

1) Once an EMI assessment determines there will be no interference from the T-PED's intentional transmissions, each T-PED model should be tested while powered but not deliberately transmitting using either Method 1 or Method 2 for basic non-interference testing requirements. This basic non-interference testing is applicable to both an EFBintegrated T-PED and a T-PED that is remote to an EFB. When an EFB has an integrated T-PED, the basic non-interference testing is to be conducted both with and without the T-PED transmit function being operative. If a T-PED is located remotely from the EFB, the T-PED basic non-interference testing is independent from the EFB noninterference testing. The positioning of the T-PED is very critical to non-interference testing, hence the operating/testing locations of a T-PED in T-PED operating procedures should be clearly defined and adhered to.

- 2.3.6.1 The operator should ensure that power to the EFB, either by battery and/or supplied power, is available to the extent required for the intended operation.
- 2.3.6.2 If an operator intends to solely use battery power for the EFB or in the instance of a power source failure; the EFB discharge rates, battery conservation techniques and minimum EFB charge rates for dispatch should be documented.
- 2.3.6.3 If the EFB hosts functions essential to safe operation of flight, one of the following must be available before a flight departs:
  - a) an established procedure to recharge the battery from aircraft power during flight operations.
  - a battery or batteries with a combined useful battery life to ensure operational availability during taxi and flight operations to include diversions and reasonable delays considering duration of flight.
  - c) an acceptable mitigation strategy providing availability of aeronautical information for the entire duration of flight authorised by the CAAM.
- 2.3.6.4 The power source needs to be suitable for the device. The power source may be a dedicated power source, or a general-purpose source already fitted.
- 2.3.6.5 Means to turn off the power source, other than a circuit breaker, should be reachable by the pilot when strapped in the normal seated position (e g. access to unplug the EFB or a separate hardware or software switch clearly labelled for the power source, etc.).
- 2.3.6.6 Connection of EFB power provisions to a non-essential, or to the least critical power bus, is recommended, so failure or malfunction of the EFB, or power supply, will not affect safe operation of aircraft critical or essential systems.
- 2.3.6.7 Portable EFB system design must consider the source of electrical power, the independence of the power sources for multiple EFBs, and the potential need for an independent battery source. A non-exhaustive list of factors to be considered includes:
  - a) The possibility to adopt operational procedures to assure an adequate level of safety (for example minimum level of charge at pre-flight);
  - b) The possible redundancy of portable EFBs to reduce the risk of exhausted batteries;
  - c) The availability of back up battery packs to assure an alternative source of power.

- 2.3.6.8 Battery-powered EFBs that have aircraft power available for recharging the internal EFB battery are considered to have a suitable backup power source.
- 2.3.6.9 For EFBs having an internal battery power source and that are used in place of paper products required by the operating rules, the operator should either have at least one EFB connected to an aircraft power bus or established and documented mitigation means and procedures to ensure that sufficient power will be available during the whole flight with acceptable margins.
- 2.3.6.10 If the aircraft is equipped with electrical power outlet(s) in the cockpit, the operator should ensure that their certified characteristics are compatible with the intended use for the EFB system. The powering or charging of the EFB system should be compatible with the electrical characteristics of the power supplied by the outlets in terms of power consumption, voltage, frequency, etc. in order not to impair the EFB system or other aircraft systems.
- 2.3.7 Batteries
- 2.3.7.1 The operator should ensure that the batteries in a portable EFB are compliant with the applicable standards for use in an aircraft.
- 2.3.7.2 Due to their proximity to the flight crew and potential hazard to safe operation of the aircraft, the use of rechargeable lithium-type batteries in portable EFBs located in the aircraft cockpit call for the following standards. Operators should collect and retain evidence of the following testing standards to determine whether rechargeable lithium type batteries used to power EFBs are acceptable for use and for recharging. Operators should collect and retain evidence of the standards in subparagraphs (a) and either (b) or (c) or (d). Refer to the following current editions:
  - a) United Nations (UN) Transportation Regulations. UN ST/SG/AC.10/11/Rev.5 2009, Recommendations on the Transport of Dangerous Goods-Manual of Tests and Criteria.
  - b) Underwriters Laboratory (UL). UL 1642, Lithium Batteries; UL 2054, Household and Commercial Batteries; and UL 60950-1, Information Technology Equipment Safety.
  - c) NOTE: Compliance with UL 2054 indicates compliance with UL 1642.
  - d) International Electrotechnical Commission (IEC). International Standard IEC 62133, Secondary cells and batteries containing alkaline or other nonacid electrolytes - Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications.
  - e) RTCA/DO-311, Minimum Operational Performance Standards for Rechargeable Lithium Battery Systems. An appropriate airworthiness testing standard such as RTCA/DO-311 can be used to address concerns regarding overcharging, over-discharging, and the flammability of cell

components. RTCA/DO-311 is intended to test permanently installed equipment; however, these tests are applicable and sufficient to test EFB rechargeable lithium-type batteries.

- 2.3.7.3 An appropriate airworthiness testing standard such as RTCA/DO-311 can be used to address concerns regarding overcharging, over-discharging, and the flammability of cell components. RTCA/DO-311 is intended to test permanently installed equipment, however, these tests are applicable and sufficient to test EFB rechargeable lithium- type batteries.
- 2.3.7.4 The operator should consider introducing procedures to handle thermal runaways or similar battery malfunctions potentially caused by EFB batteries (e.g. lithium-based batteries). At least the following issues should be addressed:
  - f) risk of leakage;
  - g) safe storage of spares including the potential for short circuit; and
  - h) hazards due to on-board continuous charging of the device, including battery overheat.
- 2.3.8 Cabling
- 2.3.8.1 The operator needs to ensure that any cabling attached to the EFB, whether in the dedicated mounting or when handheld, does not present an operational or safety hazard. This may be achieved using cable tether straps / clips.
- 2.3.8.2 If cabling is installed to mate aircraft systems with an EFB,
  - a) if the cable is not run inside the mount, the cable should not hang loosely in a way that compromises task performance and safety. Flight crew should be able to easily secure the cables out of the way during operations (e.g., cable tether straps);
  - b) cables that are external to the mounting device should be of sufficient length in order not to obstruct the use of any movable device on the flight crew compartment; and
  - c) for large airplanes, installed cables are considered electrical wiring interconnection systems and, therefore, need to comply with applicable certification requirements.
- 2.3.9 Temperature rise
- 2.3.9.1 Operating the proposed EFB device may generate heat. The placement of the EFB should allow sufficient airflow around the unit, if required.
- 2.3.10 Data connectivity between EFBs

- 2.3.10.1 If two or more EFBs on the flight deck are connected to each other, then the operator should demonstrate that this connection does not negatively influence otherwise independent EFB platforms.
- 2.3.11 Data connectivity to aircraft systems
- 2.3.11.1 EFB data connectivity should be validated and verified to ensure noninterference and isolation from certified aircraft systems during data transmission and reception.
- 2.3.11.2 Certified aircraft systems should be protected from adverse effects of EFB system failures by using a certified AID. An AID may be implemented as a dedicated device, e.g. as defined in ARINC 759, or it may be implemented in non-dedicated devices such as an EFB docking station, a Network File Server or other avionics equipment.
- 2.3.11.3 Data connectivity to aircraft systems are further explained in 2.5.6 of this CAGM
- 2.3.12 External Connectivity
- 2.3.12.1 Some EFB may have the provision for external ports other than power or data connectivity with aircraft systems (e.g. an antenna or a data connection to a ground network). External connectivity leading to a change to the aircraft type design should require an airworthiness approval. The extent of this information is dependent on the complexity of the interface to the aircraft systems.
- 2.3.13 Stowage
- 2.3.13.1 All hand-held EFBs need to be stowed during critical phases of flight to ensure the safety of the occupants of the flight deck. Stowage needs to be configured such that the EFB can be easily stowed securely but remain readily accessible in flight. The method of stowage should not cause any hazard during aircraft operations.
- 2.3.13.2 Viewable stowage.
- 2.3.13.2.1 A portable EFB not mounted in a mounting device may be used during all phases of flight provided that it is secured on the flight crew or into an existing aircraft part with the intended function to hold acceptable light mass portable devices viewable to the pilot at his required duty station. This viewable stowage device is not necessarily part of the certified aircraft configuration. Its location should be documented in the EFB policy and procedures manual.
- 2.3.13.2.2 Some types of viewable stowage may have characteristics that degrade appreciably with aging or due to various environmental factors. In that case, it should be ensured that the stowage characteristics remain within

acceptable limits for the proposed operations. Securing means based on vacuum (e.g. suction cups) have a holding capacity that decreases with pressure. It should be demonstrated that they will still perform their intended function at operating cabin altitudes or in case of a rapid depressurisation.

- 2.3.13.2.3 It should be demonstrated that if the EFB moves or is separated from its stowage, or if the viewable stowage is unsecured from the aircraft (as a result of turbulence, manoeuvring, or other action), it will not interfere with flight controls, damage flight deck equipment, or injure flight crew members.
- 2.3.13.2.4 Training and procedures must address specific and acceptable placement of viewable stowage devices.
- 2.3.13.2.5 The evaluation of the viewable stowage should be performed for a given location in the flight deck. This location should be documented and this information should be part of the EFB policy.
- 2.3.13.2.6 Regardless of whether an EFB is secured using either a certified mounting device or viewable stowage, the following guidance should be considered:
  - a) There must be no interference with flight control movement, obstruction to visual or physical access to controls and/or displays or obstruct flight crew member ingress or egress.
  - b) The pilot should have easy access to the EFB controls and a clear unobstructed view of the EFB when strapped in the normal seated position. The effects of glare and/or reflections should be minimised. This may be accomplished by providing some adjustment to the pilot to compensate for glare and reflections.
  - c) Blockage of windshields should be minimised to allow the pilots to maintain a clear view of critical outside references (e.g. During ground operations, taxiing, take-off, approach and landing)
  - d) The mounting device and associated mechanisms should not impede the flight crew in the performance of any task (normal, abnormal, or emergency) associated with operating any aircraft system.
  - e) When the mounting device is used to secure an EFB display (e.g. portable EFB, installed EFB side display), the mount should be able to be locked in position easily. If necessary, selection of positions should be adjustable enough to accommodate a range of flight crew member preferences. In addition, the range of available movement should accommodate the expected range of users' physical abilities (i.e. anthropometrics constraints). Locking mechanisms should be of the low-wear types that will minimise slippage after extended periods of normal use.

- f) Crashworthiness considerations should be taken into account in the design of this device. This includes the appropriate restraint of any device when in use.
- g) When the mounting device is used to secure an EFB display (e.g. portable EFB, installed EFB side display), a provision should be provided to secure or lock the mounting device in a position out of the way of flight crew operations when not in use. When stowed, the device and its securing mechanism should not intrude into the flight crew compartment space to the extent that they cause either visual or physical obstruction of flight controls/displays and/or egress routes.
- h) Mechanical interference issues of the mounting device, either on the side panel (side stick controller) or on the control yoke in terms of full and free movement under all operating conditions and non-interference with buckles, etc. For yoke mounted devices, (Supplemental) Type Certificate holder data should be obtained to show that the mass inertia effect on column force has no adverse effect on the aircraft handling qualities.
- Adequate means should be provided (e.g. hardware or software) to shut down the portable EFB when its controls are not accessible by the pilot strapped in the normal seated position. This objective can be achieved through a dedicated installed resource certified according to 2.5.3 (e.g. button accessible from pilot seated position).

#### 2.4 Installed EFB

- 2.4.1 Installed EFBs are integrated into the aircraft and are subject to normal airworthiness requirements. An installed EFB is considered as part of the aircraft and, therefore, requires a full airworthiness approval. An installed EFB is usually managed under the aircraft type design configuration.
- 2.4.2 The assessment of compliance with the airworthiness requirements would typically include two specific areas:
  - a) the safety assessment addressing failure conditions of the EFB system hardware, of any certified application (or applications ineligible as Type A and/or Type B) installed on the EFB and the partition provided for uncertified applications and miscellaneous non-EFB applications; and
  - b) hardware and operating system software qualification conducted in accordance with the necessary Development Assurance Level (DAL) for the system and its interfaces.

- 2.4.3 The complementary characteristics below pertain to Installed EFBs:
  - a) In addition to the EFB functions to support flight operations, an installed EFB may host other applications provided they meet CAAM certification requirements.
  - b) In addition to hosting type A and B applications, an installed EFB may host certified applications, provided the EFB meets the certification requirements for hosting such applications, including assurance that the non-certified software applications do not adversely affect the certified application(s). For example, a robust partitioning mechanism is one possible means to ensure the independence between certified applications and the other types of applications.

# 2.5 Airworthiness Approval for Installed Resources, Data Connectivity and Power to the EFBs

- 2.5.1 Installation of installed resources, mounting devices, data connectivity and power provision to the EFB constitutes a modification to the aircraft type design. Therefore, such modification requires a separate airworthiness approval.
- 2.5.2 The modification specified shall be certified either:
  - a) During the certification of aircraft; or
  - b) Through service bulletins by the type certificate holder; or
  - c) By CAAM; or
  - d) By design organisations approved by CAAM.
- 2.5.3 Installed resources
- 2.5.3.1 Installed resources are the input/output components external to the EFB host platform itself, such as an installed remote display, a control device (e.g. a keyboard, pointing device, switches, etc.) or a docking station.
- 2.5.3.2 The installed resources should be dedicated to EFB functions only, or in the case of use of resources shared with avionics, this possibility shall be part of the approved type design. It should be demonstrated, using the appropriate level of assessment, that the integration in the aircraft of the EFB and the EFB software applications does not jeopardise the compliance of the aircraft installed systems and equipment (including the shared resources) to airworthiness requirements.
- 2.5.4 Power to the EFB
- 2.5.4.1 This section applies to design considerations for installing dedicated power port and cabling provisions for EFBs. EFB power provisions should comply with the applicable airworthiness specifications.

- 2.5.4.2 Connection of EFB power provisions to a non-essential, or to the least critical power bus, is recommended, so failure or malfunction of the EFB, or power supply, will not affect safe operation of aircraft critical or essential systems.
- 2.5.4.3 Connection to more critical aircraft power buses is, however, permitted if appropriate, taking into account the intended function of the EFB.
- 2.5.4.4 In all cases, an electrical load analysis should be conducted to replicate a typical EFB system to ensure that powering or charging the EFB will not adversely affect other aircraft systems and that power requirements remain within power-load budgets.
- 2.5.4.5 The aircraft power source delivering power supply to the EFB system should be demonstrated to protect the aircraft electrical network from EFB system failures or malfunctions (e.g. short-circuit, over-voltages, over-load, electrical transients or harmonics, etc.).
  - A placard should be mounted beside the power outlet, containing the information needed by the flight or maintenance crews (e.g. 28 VDC, 115 VAC, 60 or 400 Hz, etc.).
  - b) The EFB power source should be designed so that it may be deactivated at any time. If the flight crew cannot quickly remove the plug, which is used to connect the EFB to the aircraft electrical network, an alternate means should be provided to quickly stop powering and charging the EFB. Circuit breakers are not to be used as switches; their use for this purpose is prohibited.
  - c) if a manual means (e.g. on/off switch) is used, this means should be clearly labelled and be readily accessible.
  - d) If an automatic means is used, the applicant should describe the intended function and the design of the automatic feature and should substantiate that the objective of deactivating the EFB power source, when required to maintain safety, is fulfilled.
- 2.5.5 EFB data connectivity
- 2.5.5.1 Portable EFB having data connectivity to aircraft systems, either wired or wireless, may receive or transmit data to and from aircraft systems, provided the connection (hardware and software for data connection provisions) and adequate interface protection devices are incorporated into the aircraft type design.
- 2.5.5.2 A portable EFB can receive any data from aircraft systems, but data transmission from EFB is limited to:
  - a) systems whose failures have no safety effect or minor safety effect at aircraft level (e.g. printer or ACARS);

- b) aircraft systems which have been certified with the purpose of providing connectivity to PEDs (e.g. SATCOM with a router) in accordance with the limitations established in the AOM;
- systems which are completely isolated (in both directions) from the certified aircraft systems (e.g. a transmission media that receives and transmits data for Aircraft Administrative Communications (AAC) purposes on the ground only); and
- d) EFB system installed resources according to section 2.5.3 of this CAGM.
- 2.5.5.3 EFB data connectivity should be validated and verified to ensure noninterference and isolation from certified aircraft systems during data transmission and reception.
- 2.5.5.4 The safety assessment of the EFB data connectivity installation should include an analysis of vulnerabilities to new threats that may be introduced by the connection of the EFB to the aircraft systems (malware and unauthorised access) and their effect on safety. This assessment is independent and does not take any credit from the operational assessment of EFB System Security, which is intended to protect EFB systems themselves.
- 2.5.5.5 Certified aircraft systems should not be adversely affected by EFB system failures.
- 2.5.5.6 Any consequent airworthiness limitations should be included in the AOM. EFB data connectivity should be validated and verified to ensure non-interference and isolation from certified aircraft systems during data transmission and reception.

#### 2.6 Application classification

- 2.6.1 An EFB software application is an application that is not part of the configuration of the certified aircraft and is installed on an EFB system to support flight operations. The classification of the applications, based on their respective safety effects, is intended to provide clear divisions between such applications and, therefore, between the assessment processes applied to each.
- 2.6.2 For the purpose of the following process, 'malfunction or misuse' means any failure, malfunction of the application, or design-related human errors that can reasonably be expected in service.
- 2.6.3 Determination of an application type:
  - a) 2.6.5 and 2.6.6 should be used to justify a classification, provided that the application does not feature design or functional novelties that introduce new forms of crew interaction or unusual procedures.

- b) If an application is not listed in 2.6.5 or 2.6.6, presents a high degree of novelty, the classification should be established using the definitions and criteria provided hereafter.
- c) As a first step, it should be verified that the application does not belong to the following list of applications that are not eligible for classification as either type A or type B EFB applications. The following are not eligible to be classified as either type A or type B EFB Applications:
  - Applications that display information which is tactically used by the flight crew members to check, control or deduce the aircraft position or trajectory, either to follow the intended navigation route or to avoid adverse weather, obstacles or traffic during the flight;
  - Applications that display information which may be directly used by the flight crew members to assess the real-time status of aircraft critical and essential systems, as a replacement for existing installed avionics, and/or to manage aircraft critical and essential systems following a failure;
  - 3) Applications that send data to air traffic services;
- d) Then, the next steps in this process should be to:
  - identify any failure conditions resulting from potential losses of function or malfunction (with either detected or undetected erroneous outputs), taking into consideration any relevant factors (e.g. aircraft/system failures, operational or environmental conditions) and any established mitigation (e.g. flight crew procedures, flight crew training) that would intensify or alleviate the effects; and
- e) classify the application as follows, based on the assessment of the safety effect of each failure condition:
  - 1) if there is no failure condition that may have a safety effect, the application should be classified as a type A EFB application;
  - if one or several failure conditions with a safety effect that is limited to minor are identified, the application should be classified as type B;
  - 3) if more severe failure conditions are identified, the application should not be eligible for classification as an EFB application.
  - e) Software applications with failure conditions that are classified as more severe than minor are ineligible as type A or type B EFB applications.

Note1. – The severity of the failure conditions linked to displaying a function that already exists in the certified type design, and used with same concept of operation (considering the intended function but also operational means of mitigation), should be considered in the assessment of the severity of the failure condition of an application and cannot be less than the severity already assessed for this function.

Note2. – The data resulting from this process may be reused by the operators in the context of the EFB risk assessment process.

#### 2.6.4 Miscellaneous software applications

2.6.4.1 Miscellaneous software applications are applications that support function(s) that are not directly related to operations conducted by the flight crew on the aircraft. Miscellaneous software applications are not considered to be EFB applications for the purposes of this CAGM.

Note. – Examples of miscellaneous software applications are web browsers (not used for operational purposes), email clients, picture management applications, or even applications used by ground crews (e.g. for maintenance purposes

- 2.6.5 Typical type A EFB applications
- 2.6.5.1 The following EFB application should be considered type A EFB applications:
  - a) browsers that display:
    - 1) the certificates and other documents which are required to be carried by the applicable operational regulations, including digitally created documents such as:
      - i) the certificate of registration;
      - ii) the certificate of airworthiness (CofA);
      - iii) the noise certificate, and its English translation if applicable;
      - iv) the air operator certificate (AOC);
      - v) the operations specifications relevant to the aircraft type, issued with the AOC;
      - vi) the third-party liability insurance certificate(s); and
      - vii) the aircraft continuing airworthiness records, including the technical log (flight crew view thereof);
    - some manuals and additional information and forms which are required to be carried by the applicable operational regulations such as:
      - notifications of special categories of passenger and special loads; and
      - ii) passenger and cargo manifests, if applicable; and
    - 3) other information within the operator's aircraft library such as:
      - airport diversion policy guidance, including a list of special designated airports and/or approved airports with emergency medical service (EMS) support facilities;
      - ii) maintenance manuals;
      - iii) emergency response guidance for aircraft incidents involving dangerous goods (see ICAO Doc 9481-AN/928);
      - iv) aircraft parts manuals;
      - v) service bulletins/published airworthiness directives, etc.;
      - vi) current fuel prices at various airports;

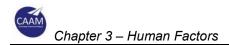
- vii) trip scheduling and bid lists;
- viii) passenger information requests;
- ix) examiner and flight instructor records; and
- x) flight crew currency requirements;
- b) interactive applications for crew rest calculations in the framework of flight time limitations;
- c) interactive forms to comply with the reporting requirements of the competent authority and the operator;
- applications that make use of aircraft administrative communications (AAC) to collect, process and then disseminate data that has no effect on the safe operation of an aircraft
- 2.6.6 Typical Type B Applications
- 2.6.6.1 The following EFB applications should be considered type B EFB applications, provided that they do not feature design or functional novelties that introduce new forms of crew interaction or unusual procedures:
  - a) Document browsers that display the manuals and additional information and forms required tobe carried by regulations and that are necessary for the safe operation of the aircraft, such as:
    - 1) The operations manual (including the minimum equipment list (MEL) and configuration deviation list (CDL));
    - 2) The aircraft flight manual, or equivalent documents;
    - 3) The operational flight plan;
    - 4) Meteorological information with graphical interpretation;
    - 5) Air traffic services (ATS) flight plan;
    - 6) Notices to airmen (NOTAMs) and aeronautical information services (AIS) briefing documentation.
  - b) Electronic aeronautical chart applications including en-route, area, approach, and airport surface maps.
  - c) Airport moving map display (AMMD) applications.
  - d) Applications that make use of the aeronautical operational control (AOC) communications to collect, process and then disseminate operational data.

- e) Aircraft performance calculation applications that use algorithmic data or that perform calculations using software algorithms to provide aircraft performance data such as:
  - take-off, en-route, approach and landing, missed approach and other phases of flight, performance calculations providing limiting masses, distances, times and/or speeds, etc.;
  - 2) power settings, including reduced take-off thrust settings, etc.
- f) Mass and balance calculation applications used to establish the mass and centre of gravity of the aircraft and to determine that the load and its distribution are such that the mass and balance limits of the aircraft are not exceeded.
- g) Application providing in-flight weather information.

# 3 Human Factors

#### 3.1 General

- 3.1.1 The operator should carry out an assessment of the human-machine interface and aspects governing crew coordination when using the EFB. Whenever possible, the EFB user interface philosophy should be consistent (but not necessarily identical) with the flight deck design philosophy.
- 3.1.2 The review of the complete system should include, but is not limited to:
  - a) general considerations including workload, usability, integration of the EFB into the flight deck, display and lighting issues, system shutdown, and system failures;
  - b) physical placement issues, including stowage area, use of unsecured EFBs, design and placement of mounting devices;
  - c) considerations for interference with anthropometric constraints, cockpit ventilation, and speaker sound;
  - d) training and procedures considerations, including training on using EFB applications, the EFB policy and procedures manual, fidelity of the EFB training devices, and mechanisms for gathering user feedback on EFB use;
  - e) hardware considerations refer to Chapter 2.
  - f) software considerations refer to Chapter 7.



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# 4 Crew Operating Procedures

#### 4.1 General

- 4.1.1 The operator should have procedures for using the EFB in conjunction with the other flight-deck equipment.
- 4.1.2 If an EFB generates information similar to that generated by existing flight-deck systems, procedures should clearly identify the following:
  - a) which information source will be primary;
  - b) which source will be used as secondary information;
  - c) under what conditions to use the secondary source; and
  - d) what actions to take when information provided by an EFB does not agree with that from other flight deck sources, or, if more than one EFB is used, when one EFB disagrees with another.
- 4.1.3 If normal operational procedures require an EFB for each flight-deck crew member, the setup should comply with the definition of independent EFB platforms.
- 4.1.4 Operators should include the requirements for EFB availability in the operations manual, as part of the minimum equipment list, or both.

#### 4.2 Revisions and Updates

- 4.2.1 The operator should have a procedure in place to allow the flight crew to confirm the revision number and/or date of EFB application software including, where applicable, database versions (e.g. update to the latest aeronautical charts).
- 4.2.2 Flight crew should not, however, have to confirm the revision dates for databases that would not, in the case of outdated data, adversely affect flight operations. There should be procedures to specify what actions to take if the software applications or databases loaded on the EFB are out of date.

#### 4.3 Workload and Crew Coordination

- 4.3.1 In general, using an EFB should not increase the workload of the flight crew during critical phases of flight. For other flight phases, crew operating procedures should be designed to mitigate and/or control additional workload created by using an EFB.
- 4.3.2 Workload should be distributed between flight crew members to ensure ease of use and continued monitoring of other flight crew functions and aircraft equipment. The procedures should include specification of the phases of flight at which the flight crew may not use the EFB, if applicable.



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# 5 Flight Crew Training

## 5.1 Requirements

- 5.1.1 The use of the EFB should be conditional upon appropriate training. Training should be in accordance with the operator's SOP (including abnormal procedures) and should include:
  - a) an overview of the system architecture;
  - b) pre-flight checks of the system;
  - c) limitations of the system;
  - d) the use of each operational software application
  - e) restrictions on the use of the system, including when some or all of the EFB functions are not available;
  - f) the conditions (including phases of flight) under which the EFB may not be used;
  - g) procedures for cross-checking data entry and computed information;
  - h) human performance considerations on the use of the EFB;
  - i) additional training for new applications, new features of current applications, or changes to the hardware configuration;
  - j) recurrent training and proficiency checks; and
  - k) any area of special emphasis raised during the EFB evaluation with the CAAM.

## 5.2 Additional requirements for the usage of AMMD

- 5.2.1 The operator should define specific training in support of an AMMD's implementation. It should be included in the operator's overall EFB training.
- 5.2.2 The operations manual or user guide shall provide sufficient information to the flight crew, including limitations and accuracy of the system and all related procedures.

#### 5.3 Additional requirements for the usage of ECL

5.3.1 The operator should define specific flight crew training in support of an ECL implementation. It should be included in the operator's overall EFB training. The operating manual or user guide should provide sufficient information to the flight crew including limitations of the system and all related procedures.



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# 6 EFB Risk Assessment

#### 6.1 General

- 6.1.1 The EFB risk assessment is a process that should be performed to assess the risks associated with the use of each EFB function and should allow the operator to keep the risks to an acceptable level by defining the appropriate mitigation means.
- 6.1.2 This risk assessment should be performed before the beginning of the approval process (if applicable) and its results should be reviewed on a periodic basis.
- 6.1.3 The guidance on safety risk assessment is contained in the Safety Management Manual (SMM) (ICAO Doc 9859).

## 6.2 EFB failures and mitigation means

- 6.2.1 Based on the outcome of the EFB risk assessment, the operator should determine the need for software architectural features, personnel, procedures, and/or equipment that will eliminate, reduce, or control risks associated with an identified failure in a system.
- 6.2.2 If normal operational procedures require an EFB for each flight crew member, the installation should comply with the definition of independent EFB platforms.
- 6.2.3 Apart from procedures to inform maintenance and flight crew about a fault or failure of the EFB and the actions taken to isolate it until corrective action is taken, back-up procedures should also be in place to prevent the use of erroneous information by the flight crew.
- 6.2.4 Mitigation against EFB failure or impairment may be accomplished by one or a combination of:
  - a) system design;
  - b) separate and backup power sources for the EFB;
  - electronic fallback solutions to the last known, stable configuration (e.g. before an update);
  - d) redundant EFB applications hosted on independent EFB platforms;
  - e) paper products carried by selected crew members;
  - f) complete set of sealed paper backups in the flight deck; and/or
  - g) procedural means.

#### 6.3 Continued surveillance / Monitoring programme



Chapter 6 – EFB Risk Assessment

This process provides guidance to operators in establishing a continuous surveillance / monitoring programme for Electronic Flight Bags to ensure compliance with the requirements of the CAAM.

- 6.3.1 A reporting system for EFB failures should be established which includes procedures to inform maintenance and flight crew about a fault or failure of the EFB and the actions taken to isolate it until corrective action is taken.
- 6.3.2 Flight crew are encouraged to submit reports in the case of EFB errors encountered by the flight crew. A sample of the error reporting can be obtained from Attachment 2 of this CAGM.
- 6.3.3 The monitoring of software and hardware of the EFB should include the below items, as applicable:
  - a) Document viewer or equivalent;
  - b) Electronic charting or equivalent;
  - c) In-flight weather application;
  - d) Airport Moving Map Display;
  - e) Taxi-aid Camera System
  - f) Flight Performance Applications;
  - g) EFB damages / dents / cracks;
  - h) Battery level and life;
  - i) Cabling.
  - j) Any other error affecting the EFB.
- 6.3.4 Monitoring should include random EFB audits either using an automatic monitoring system or performing physical audits.
- 6.3.5 Details of self audits should be recorded.

# 7 EFB Functions

## 7.1 General

- 7.1.1 Use of multiple software applications in an EFB is allowable.
- 7.1.2 Operational use of EFB functions requires the approval from the CAAM.

## 7.2 Guidance/criteria for operational use of EFB functions

- 7.2.1 The following are the guidance/criteria established by CAAM for the operational use of EFBs that:
  - a) the EFB equipment and its associated installation hardware, including interaction with aircraft systems as applicable, meet the CAAM Airworthiness Certification requirements;
  - b) the operator/owner has assessed the safety risks associated with the operations with support by the EFB function(s);
  - c) the operator/owner has established requirements for redundancy of the information, as appropriate, contained in and displayed by the EFB functions;
  - d) the operator/owner has established and documented procedures for the management of the EFB function(s) including any database it may use;
  - e) the operator/owner has established and documented the procedures for the use of, and training requirements for, the EFB and the EFB function(s).

#### 7.3 EFB functions essential to safe operation of flight

- 7.3.1 EFB functions whose failure, malfunction or misuse would have an adverse effect on the safety of flight operations (e.g. increase in flight crew workload during critical phases of flight, reduction in functional capabilities or safety margins, etc.) are essential to the safe operation of the flight should be recorded in the operations manual and linked to the operations specifications.
- 7.3.2 The applications below may be considered examples of such functions, depending on their use, associated procedures, and failure mitigation means:
  - a) Document browser displaying information required to be carried by regulations (subject to State authority approval, where required);
  - b) Electronic aeronautical charting applications;
  - c) Airport moving map display (AMMD) applications, not used as a primary means of navigation on the ground and used in conjunction with other materials and procedures;



- d) Aircraft performance calculation application to provide take-off, en-route, approach, landing and missed approach performance calculations; and
- e) Mass and balance (M&B) calculation application.
- f) Electronic checklist application.
- 7.3.3 The following features are not EFB functions and, unless certified as avionics functions, should not be hosted on an EFB:
  - a) Displaying information which may be directly used by the flight crew to assess the real0time status of aircraft critical and essential systems, as a replacement for existing installed avionics, and/or to manage aircraft critical and essential systems following failure;
  - b) Communicating with air traffic control;
  - c) Sending data to the certified aircraft system other than the EFB installed/shared resources; and
  - d) EFB Functions that require certification, as determined by the CAAM.
- 7.3.4 Display of Own-Ship Position
- 7.3.4.1 An operator may overlay the EFB own-ship position on an EFB only when the installed primary flight display, weather display, or map display also depicts own-ship position.
- 7.3.4.2 Proper Use of EFB Own-Ship: An operator must ensure flight crew members understand the proper use of EFB own-ship position, including the need for concurrent use and differentiation. The flight crew's reference for maneuvering the aircraft in the air is the installed primary flight and navigational displays; therefore, they must be able to resolve conflicts between the EFB information depicted on the "secondary" display and the installed avionics system identified for each EFB application as its reference for in-flight use. For EFB own-ship position use in ground operations, use of external visual references shall be sufficient for maneuvering.
- 7.3.4.3 EFB Own-Ship Display Supporting Requirements:
  - a) Position Source Selection: Using position data from an installed GNSS source is recommended. Portable equipment is more likely to experience signal blockage, signal degradation, and performance degradation. Position data from a portable GNSS source may be acceptable, but for consistency of availability, the operator is recommended to select an external GNSS source rather than the GNSS internal to the portable EFB.
  - b) *EFB Own-Ship Directionality:* Change own-ship to a non-directional (circular) depiction when track or heading is not available.

- c) *EFB Own-Ship GNSS Data Stream:* Remove EFB own-ship if the position becomes unavailable or is insufficient for the application. This will guard against a "frozen" own-ship condition caused by position source signal or power loss and removal should take no more than 3 seconds.
- d) EFB Own-Ship Surface Use Accuracy: For airport map applications, a database with an accuracy of 5 meters or less should be used. For airports where such data is not currently available, a database accuracy of up to 30 meters can still be operationally useful. If the database accuracy exceeds 30 meters, do not display EFB own-ship position. An operator should contact its EFB airport map application provider to obtain the accuracy of their database. This information is usually found in documentation supporting the EFB airport map application.

# 7.4 Considerations for all EFB functions - software HMI

- 7.4.1 The EFB system should provide an intuitive, and in general, consistent user interface within and across the various hosted EFB applications. This should include, but not be limited to, data entry methods, color-coding philosophies, and symbology.
- 7.4.2 Software considerations, including ease of access to common functions, consistency of symbols, terms and abbreviations, legibility of text, system responsiveness, methods of interaction, use of colour, display of system status, error messages, management of multiple applications, off-screen text/content and use of active regions should be addressed.
- 7.4.3 Use of colours and messages.
  - a) The colour "red" should be used only to indicate a warning level condition.
  - b) "Amber" should be used to indicate a caution level condition.
  - c) Any other colour may be used for items other than warnings or cautions, providing that the colours used differ sufficiently from the colours prescribed to avoid possible confusion.
  - d) EFB messages and reminders should be integrated with (or compatible with) presentation of other flight deck system alerts.
  - e) EFB aural messages should be inhibited during critical phases of flight. If, however, there are regulatory requirements that conflict with the recommendation above, those shall have precedence.
- 7.4.4 *System error messages:* If an application is fully or partially disabled, or is not visible or accessible to the user, it may be desirable to have an indication of its status available to the user upon request. It may be desirable to prioritise these EFB status and fault messages.

#### Chapter 7 – EFB Functions

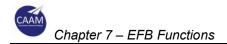
- 7.4.5 *Data entry and error messages:* If user-entered data are not of the correct format or type needed by the application, the EFB should not accept the data. An error message should be provided that communicates which entry is suspect and specifies what type of data are expected.
- 7.4.6 *Responsiveness of application:* The system should provide feedback to the user when user input is accepted. If the system is busy with internal tasks that preclude immediate processing of user input (e.g. calculations, self-test, or data refresh), the EFB should display a "system busy" indicator (e.g. clock icon) to inform the user that the system is occupied and cannot process inputs immediately. The timeliness of system response to user input should be consistent with an application's intended function.
- 7.4.7 *Off-screen text and content:* If the document segment is not visible in its entirety in the available display area, such as during "zoom" or "pan" operations, the existence of off-screen content should be clearly indicated in a consistent way. For some intended functions, it may be unacceptable if off-screen content is not indicated. This should be evaluated based on the application and intended operational function.
- 7.4.8 *Electronic Signatures:* To be accepted as an equivalent to a handwritten signature, electronic signatures used in EFB applications needs, as a minimum, to fulfil the same objectives and should, as a minimum, assure the same degree of security as the handwritten or any other form of signature it intends to replace. Authenticated certificates and secure signature creation devices are normally not required for EFB operations.

*Note. - For further guidance on electronic signatures refer to ICAO Safety Management Manual Doc 9859.* 

### 7.5 EFB management

- 7.5.1 The operator should have an EFB management system in place. The role of an EFB administrator is a key factor in the management of the EFB system. Complex EFB systems may require more than one individual to support the EFB management system. However, at least one person (e.g. the EFB administrator or dedicated EFB manager, OPS director, etc.) should possess an overview of the complete EFB system, including the distribution of responsibilities within the operator's management structure. This role and accountability can be by delegations and by establishing procedures to ensure compliance.
- 7.5.2 The EFB administrator is the key link between the operator, the EFB system, and the software suppliers.
- 7.5.3 The following are responsibilities of the EFB administrator:
  - a) Hardware and software configuration management and for ensuring, in particular, that no unauthorised software is installed.

- b) Ensuring that only a valid version of the application software and current data packages are installed on the EFB system.
- c) Ensure the operator establishes the means to carry out their own check of data contents prior to load and/or release for operational use.
- d) Conducting internal quality control measures to ensure that all EFB management personnel comply with the defined procedures.
- e) Ensure that software applications supporting function(s) not directly related to operations conducted by the flight crew on the aircraft (e.g. web browser, email client, picture management, etc.) do not adversely impact the operation of the EFB.
- f) Ensure each person involved in EFB management receive appropriate training in their role and have a good working knowledge of the proposed system hardware, operating system and relevant software applications as well as knowledge about flight operations.
- g) Should establish procedures, documented in an EFB Policy and Procedures Manual, to ensure that no unauthorised changes take place. The EFB Policy and Procedures Manual may be part of the Operator's Operations Manual
- h) Ensure procedures are established for the maintenance of the EFB.
- 7.5.4 The EFB administrator should be responsible for the overall procedures and systems, documented in the EFB Policy and Procedures Manual that maintain EFB security and integrity to the level of EFB security as required by the criticality of the used functions.



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# 8 **Operational Evaluation Process**

## 8.1 Definition of the scope

- 8.1.1 Subject to the operational evaluation and approval of the CAAM, an operator implementing EFB functions:
  - a) may choose to start a paperless flight deck operation without paper backup or a combination of solutions with limited on-board paper backup.
  - b) may also choose to keep the paper backup as a cross-check against the EFB information and as a means of mitigation against failure, when transitioning from paper to electronic format.
- 8.1.2 The operational evaluation process below is designed to lead to specific operational approval and consists of the following phases of actions. Attachment A provides a sample checklist of evaluation items.
- 8.1.3 If the operational evaluation during the initial approval as stated in CAD 6 is unsuccessful, the operator may be required to conduct further evaluation prior to being granted the final approval.

### 8.2 Application for EFB approval

- 8.2.1 General
- 8.2.1.1 CAAM certification procedures are outlined in this CAGM.
- 8.2.1.2 The required information shall be provided to the CAAM by an air operator applying for EFB operations at least 60 working days prior to the intended start of EFB operations.
- 8.2.1.3 Any questions not covered herein, or any point of apparent conflict requiring resolution, should be referred to the CAAM.
- 8.2.2 The approval process should consist of the following phases:
- 8.2.2.1 **Step 1** <u>**Pre-application phase:</u>** Prior to initiating the approval process, the operator will review the requirements and guidelines outlined in the relevant regulations, CADs, and CAGMs which are published by the CAAM.</u>

A pre-application usually commences when a prospective applicant makes his initial inquiries regarding application for an approval in the form of a letter or a personal visit to the CAAM. If the proposed application is complex, the operator may need to obtain advice and assistance from OEMs or other design organisations, training establishments, data providers, etc.

This Phase will include both flight operations and Airworthiness division to assist the applicant in queries and highlighting the requirements.

Chapter 8 – Operational evaluation process

8.2.2.2 **Step 2** — *Formal application phase:* The operator submits to the CAAM a formal, written application for approval, the CAAM will then appoint a Specific Approvals Manger (SAM) to oversee the application

Note. – An example application form is contained in Attachment C.

- 8.2.2.3 **Step 3** <u>Document evaluation phase:</u> The CAAM FOI and AWI evaluate the formal written application for approval to determine if all the requirements are being met. The FOI and AWI, may need to obtain advice and assistance from other departments within CAAM or organisations such as regional agencies or experts in other States.
- 8.2.2.4 **Step 4 <u>Demonstration and inspection phase</u>:** During a formal inspection by the FOI and AWI (assisted as necessary by a team from the CAAM), the operator demonstrates how the requirements are being met.
- 8.2.2.5 **Step 5 <u>Approval phase:</u>** Following a successful formal inspection by the CAAM, approval is given via:
  - e) an amendment to the OM; and
  - f) an Ops Spec associated with the AOC; or
  - g) a LOA

Note 1. – The demonstration and inspection phase may not be required depending upon the type of operation used, subject to the consideration of the  $CAAM_{-}$ 



# 9 EFB use in General Aviation Operations with Helicopters or other than Large or Turbojet Aeroplanes

## 9.1 Equipment/Hardware considerations

- 9.1.1 Operators involved in general aviation with helicopters or other than large or turbojet aeroplanes, should consider the following provisions before using an EFB.
- 9.1.2 The operator should follow the provisions of Chapter 2 of this CAGM when using a portable EFB.

#### 9.2 Pilot operating procedures

9.2.1 To ensure that adequate guidance is available for use of the EFB applications, the user guide established by the software developer should be available to the pilot.

#### 9.3 Pilot training

9.3.1 The pilot should be familiar with EFB use before using it in flight. Changes to EFB hardware or software may warrant additional familiarisation.

#### 9.4 EFB Risk Assessment

- 9.4.1 For general aviation operations, hazard assessment in the traditional sense is not practical. Therefore, the following mitigations are presented to address risks associated with EFB use. Before each flight, the pilot should conduct the following checks to ensure the continued safe operation of the EFB during the flight.
  - a) general check of the EFB operation by switching it ON and checking that the applications intended to be used in-flight are operative;
  - b) check battery or other power sources to ensure the availability of the EFB during taxi and flight operations, including diversions and reasonable delays;
  - c) check for currency of EFB databases (effective dates), (e.g. aeronautical charts, performance calculation, and weight and balance applications); and
  - d) check that an appropriate backup is available when using an application displaying information or data required to be on board.

#### 9.5 EFB Functions

- 9.5.1 If EFB applications provide functions that display information related to the aircraft position in-flight, navigation, terrain or traffic surroundings or altitude, the pilot should be aware of the potential misleading or erroneous information displayed and should only use these functions as an advisory means.
- 9.5.2 When using an aeronautical chart, performance calculation, mass and balance, in-flight weather (IFW) application, electronic checklist application (ECL) or an

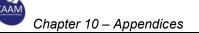


airport moving map display (AMMD), the following considerations should be taken into account by the pilot:

- a) Aeronautical chart application: The aeronautical charts that are depicted should contain the information necessary, in appropriate form, to conduct the flight safely. Consideration should be given to the size and resolution of the display to ensure legibility.
- b) *Performance calculation and mass and balance (M&B) application:* Prior to the first use of a performance or M&B application and following any update of the database supporting the application, the operator should obtain assurance that the output of the application corresponds with the data derived from the AOM (or other appropriate sources).
- c) Airport moving map display (AMMD) application: An AMMD application should not be used as a primary means of navigation for taxi; outside references remain primary.
- d) In-flight weather application: The displayed meteorological information may be forecast, observed, or both and may be updated on the ground or in-flight. It should be based on data from providers approved by the meteorological authority concerned or other sources approved by the operator. Consideration should be given to the latency of meteorological information and the hazards associated with utilisation of latent information. Pilots should only use in-flight weather applications for broad strategic avoidance of adverse meteorological conditions.
- e) *Electronic checklist (ECL):* An EFB application that displays checklists to the flight crew by means of an EFB.

# 9.6 Evaluation process

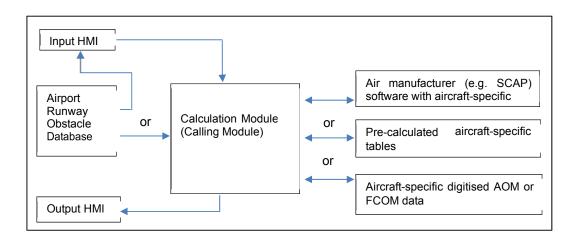
9.6.1 As stated in chapter 8, an evaluation process is not required, but nevertheless recommended that pilots and/or the operator/owner undergo an evaluation period to ensure that mitigations to risk, including EFB failures, EFB misuse and other EFB malfunctions, are addressed. During this period, the pilot or owner/operator should validate that the EFB is as available and reliable as the paper-based system being replaced, if applicable.



# 10 Appendices

## 10.1 Appendix 1 – Performance and Mass and Balance (M&B) Applications

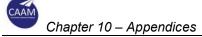
- 1 Introduction
- 1.1 The use of EFB to compute aircraft performance as well as mass and balance (M&B) data has become commonplace in recent years. The computing power and versatility offered particularly by the portable devices such as laptops and tablets in relation to their flexibility for development and use have also allowed the creation of numerous applications for most types of aircraft.
- 1.2 In any event, for the safe operations of flight, the validity and integrity of the aircraft performance and M&B data are crucial and the applications and the procedures for their use have to be properly evaluated before being used in service.
- 1.3 In that regard, the verification of the aircraft performance data and calculation algorithm correctness becomes an essential step of the evaluation. The other part of the evaluation is to deal with the user interface and crew procedures. A proper human- machine interface (HMI) on one side, with adequate administration and crew procedures and training on the other, are necessary to mitigate those errors.
- 2 Performance applications architecture
- 2.1 Performance applications are usually separated into different layers:
  - a) HMI (human-machine interface);
  - b) calculation module;
  - c) aircraft-specific information; and
  - d) airport, runway, obstacle database (AODB).
- 2.2 The figure below shows a typical architecture of a performance application. Individual solutions that are in use by operators might not need to be as modular as shown, but rather, have the different parts integrated into one software. Alternatively, there might be solutions where modularity is taken to a point where some or all parts are supplied by different providers.





- 2.3 Input and output HMI: The input HMI takes the pilot's inputs (or data read from the avionics if applicable) and requests the calculation from the calculation module. The results are transferred to the output HMI.
- 2.4 Calculation module: The calculation module will process the request data from the input HMI and determine the results, which are then sent back to the output HMI.
- 2.4.1 Calculation modules are commonly setup using manufacturer SCAP software together with the respective aircraft-specific database. To obtain the results, the calculation module might call the SCAP software several times. Thus, the expression "calling module" has become widespread in the industry.
- 2.4.2 Another way for the calculation module to obtain results is to interpolate between pre- calculated tables (e.g. runway weight limitation charts). Those tables are typically calculated using SCAP software. The SCAP software itself, however, is not specifically part of the performance application.
- 2.4.3 Where manufacturer software is not available, paper AOM or FCOM charts may have to be digitised.
- 2.5 Performance data sources: Different sources of performance data can be used by performance applications. Performance data can be delivered in a digitised format:
  - a) SCAP modules or the equivalent delivered by the manufacturer. The SCAP module is either based on equations of motion or digitised AOM material. Modules may or may not come from an airworthiness approved electronic flight manual;
  - b) the operator can build its own digitised performance data, based on the data published in the flight manual; and
  - c) data based on pre-calculated take-off or landing performance tables.
- 2.6 Airport, runway, obstacle database (AODB): Take-off and landing performance applications require information about airport, runway and obstacles.
  - a) The AODB should provide this information in a suitable way. Usually, it is the part of the EFB performance applications that will be updated most often.
  - b) The management of this data is critical.
  - c) The operator is ultimately responsible for the data quality, accuracy and integrity of the runway and obstacle data, and should ensure this together with the data provider.
- 2.7 Performance and M&B applications and applications and graphical user interface (GUI)
- 2.7.1 Pilot data-entry errors have been identified to have contributed to incidents and accidents. A well-designed GUI can significantly reduce the risk of errors. Below are examples of design guidelines which are part of software HMI considerations in Chapter 7:

- a) input data and output data (results) should be clearly distinctive. All the information necessary for a given task should be presented together or easily accessible;
- all data required for the performance and M&B applications should be prompted-for or displayed, including correct and unambiguous terms (names), units of measurement (e.g. kg or lbs). The units should match those from other cockpit sources for the same type of data;
- c) field names and abbreviations used in the GUI should correspond to those used in the manuals and should match the labels in the cockpit;
- d) if the application computes both dispatch (regulatory, factored) and other results (e.g. in-flight or not factored), the flight crew should be made aware of the nature of the results;
- e) the application should clearly distinguish user entries from default values or entries imported from other aircraft systems;
- f) the aircraft tail sign used for calculation must be clearly displayed to the flight crew, if relevant differences between tail signs exist. If tail signs are associated with different sub-fleets, the selected sub-fleet should be clearly displayed to the flight crew;
- g) the GUI should be designed so that input data are difficult to enter into the wrong fields of the GUI, by defining data entry rules;
- h) the GUI should only accept input parameters within the aircraft's operational envelope approved for the operator. Consideration should be given to the plausibility of outputs within the AOM envelope but outside normal operating conditions;
- all critical performance calculation assumptions (e.g. use of thrust reversers, full or reduced thrust/power rating) should clearly be displayed. The assumptions made about any calculation should be at least as clear to pilots as similar information would be on a tabular chart;
- the GUI should indicate to the pilot if a set of entries results in an unachievable operation (for instance, a negative stopping margin), in accordance with general HMI considerations;
- k) the user should be able to modify its input data easily, especially to account for last-minute changes;
- I) when calculation results are displayed, the most critical input parameters should be visible at the same time;
- m) any active MEL/CDL/special restriction should be clearly visible and identifiable;
- n) in case of multiple runway selection, the output data should be clearly associated with the selected runway; and



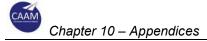
- o) changes of runway data by the pilot should be clearly displayed and the changes should be easy to identify.
- 2.8 The development, testing and approval of a GUI are considerable investments and system integrators and operators are encouraged to evaluate the usability of an existing GUI before developing a new GUI themselves. It is also recommended to review the GUI after some time of operation in the everyday environment for unforeseeable common human errors with special regard to the specific-use case of the operator, which require changes or enhancement of the given design.
- 2.9 Any new or modified GUI requires exhaustive testing of this component.
- 2.10 Any major GUI modification requires a new risk assessment by the operator.
- 3 Performance application testing
- 3.1 The criticality of performance calculations and the importance of the correctness of the calculation results delivered by performance algorithms or calculation modules cannot be over-emphasised and hence the justification for the considerable investment in the development, testing and approval or certification of a performance algorithm or calculation module.
- 3.2 Depending on the EFB set-up three different test phases may apply:
  - a) the **correctness test** checks whether the performance results are consistent with the approved data;
  - b) a **robustness and constraint test** checks for sensible system behaviour in case incorrect values have been entered; and
  - c) finally, the **integration test** shall make sure that the application runs in the EFB environment without any issue.
- 3.3 Correctness test
  - a) When developing a performance calculation module which processes entry variables (e.g. take-off or landing performance calculations), the calculation outputs must be verified. Due to the large number of parameters influencing the results of performance applications, testing all possible combinations of parameter values is not feasible.
  - b) Test cases should, therefore, be defined to sufficiently cover the operations of the aircraft under a representative cross section of conditions (e.g. for performance applications: runway state and slope, different wind conditions and pressure altitudes, various aircraft configuration including failures with a performance impact, etc.), and take into account the data sources and their individual characteristics (e.g. corner points, break points, etc.). The evaluation effort should be adapted to the type of data source used.
  - c) For selected calculations, a detailed check against approved data, or where data are not approved in the AOM, the best available data has to be documented. Those calculations must prove that the module's

results will match the data source or are consistently conservative throughout the entire operating envelope of the aircraft.

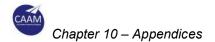
- d) The operator should provide an explanation of the methods used to evaluate enough testing points with respect to the design of their software application and databases.
- e) Tests, documented graphically or in tabular form, are subject to the acceptance of the CAAM.
- 3.4 Robustness and constraint test
  - a) Sufficient test cases shall make sure that the performance application provides understandable answers or instructions if incorrect input values (outside envelope, wrong combination of inputs) are entered.
  - b) Even if using incorrect input values, the application shall not fail or get into a state that would require special skills or procedures to bring it back to an operational state.
  - c) The testing should show that the application, in its operating environment (operating software (OS) and hardware included), is stable and deterministic, i.e. identical answers are generated each time the process is entered with identical parameters.
- 3.5 Integration testing
  - a) Typically, the design and test of performance applications are done on a different hardware and software environment than the EFB. Thus, integration testing shall make sure that the application runs properly on the EFB environment. These tests should be performed using the final system (e.g. a connected EFB, hosting the performance HMI, while accessing a ground-based performance engine and database via a mobile phone link.)
  - b) Integration testing shall ensure the performance application(s) produces the same results on the EFB as on the computer it was designed and tested on. In addition, the performance application shall not interfere adversely with other EFB applications or vice versa.
  - c) Where data from other applications are processed (e.g. T/O performance using results from the M&B application), the correct interfacing of those data shall be tested.
  - d) Finally, the overall acceptability of the performance calculation should be assessed; e.g. the data modification and calculation times should be within acceptable limits to allow quick recalculations in case of dynamic operational conditions like meteorological or last minute runway changes.
- 4 M&B application testing



- 4.1 Accurate M&B calculations are essential to safe aircraft operations, and EFB applications can be an effective tool to make these calculations. CAAM shall thoroughly test the EFB application used before approval for operational use.
- 4.2 Applications designed to perform M&B calculations must use data derived from the AOM.
- 4.3 A proper evaluation of a M&B application includes documented testing that verifies the calculation accuracy, user interface and integration. The extent of testing and supporting documentation should reflect the complexity and functionality of the application being tested.
- 4.4 **Calculation Accuracy Tests:** Tests designed to verify an application calculates M&B results that are consistent with the AOM data or advisory data provided by the aircraft manufacturer.
  - a) Results of M&B tests are influenced by a large number of input parameters, and thus it is not feasible to verify all possible outputs for accuracy. Test cases should be defined to sufficiently cover the entire operating envelop[e of the aircraft under a representative cross section of conditions for M&B applications (e.g. fuel load schedules including varying fuel densities or actual fuel density if known, passenger load schedules, cargo load schedules and unique or special cargo loads)
  - b) Test cases should also be defined to sufficiently cover a representative cross section of an operator's aircraft (*e.g. different aircraft types, models, configurations and modifications*).
  - c) Tests cases should contain a detailed check showing that the application produces results that match or are consistently conservative to results derived from previously approved methods accepted by the CAAM.
- 4.5 **User-interface tests:** Tests designed to verify that an application's user interface is acceptable. Tests cases should be defined to demonstrate that:
  - a) the GUI requirements are complied with;
  - b) the application has reasonable system response when incorrect values are inadvertently entered;
  - c) the application provides easily comprehended results or error messages and instructions if incorrect input values (*e.g. outside envelope, wrong combination inputs*) are entered; and
  - d) the application does not fail or get into a state that would require special skills or procedures to bring it back to an operational state if incorrect input values are entered.
- 4.6 **Operational integration tests:** Tests that demonstrate that the application runs properly in the complete operational environment for which the EFB application is to be used. Tests cases should be defined to demonstrate that:
  - a) the application functions correctly on the EFB platform;



- b) the application does not adversely impact other EFB applications or aircraft systems or vice versa; and
- c) the application correctly interfaces with other applications, when applicable (e.g. take-off performance using results from M&B application).
- 5 Procedures, management and training
- 5.1 When approving the operational use of a performance or M&B application(s), due consideration shall also be given to all other processes that contribute to the use of the application.
- 5.2 Crew operating procedures:
  - a) Procedures should be developed that define any new roles that the flight crew and the flight dispatcher may have in creating, reviewing, and using performance or M&B calculations supported by EFBs.
  - b) Performance and M&B calculations should be performed by both the pilots independently on independent EFBs, if available.
  - c) The results should be cross-checked and differences discussed before the results are used operationally.
  - d) Crew procedures should ensure that, in the event of loss of functionality by an EFB through either the loss of a single application or the failure of the device hosting the application, a high level of safety can be maintained. Consistency with the EFB risk assessment assumptions should be confirmed.
- 5.3 Procedures for EFB security and quality assurance
  - a) Application and data should be checked for integrity and protected against unauthorised manipulation, e.g. by checking file checksum values at EFB start-up or prior to each calculation.
  - b) A quality assurance process should apply for all performance-related software application modifications.
- 5.4 Procedures for addressing EFB failure
  - a) Procedures should be developed and introduced to assure that EFB failure events, especially those where the EFB failure leads to the calculation of misleading information (such as an error in the AODB), is immediately brought to the attention of other pilots who may be affected.
  - b) A reporting system shall be in place allowing the operator to detect the nature of problems and to decide on mitigations.
- 5.5 Flight crew training
  - a) Training should emphasise the importance of executing all performance calculations in accordance with SOP to assure fully independent calculations. As an example, one pilot should not announce the values to be entered into the HMI of the performance



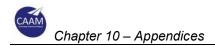
applications, because a wrong announcement could lead to both calculations showing the same misleading results.

- b) Training should include cross-checks (e.g. with avionics or flight plan data) and gross error check methods (e.g. "rule-of-thumb") that may be used by pilots to identify order- of-magnitude errors like entering the ZFM as TOM or transposed digits.
- c) It should be understood, that the use of EFBs makes performance calculations simple and does not eliminate the necessity of good pilot performance knowledge.
- d) Using EFBs, new procedures may be introduced (e.g. the use of multiple flaps settings for take-off) and pilots should be trained accordingly.
- 5.6 Management of performance (Performance and M&B applications)
  - a) Within the operator's organisation, the responsibilities between the performance management, other departments involved and the EFB management should be if separate, clear and well-documented. Furthermore, an operator needs to utilise a designated person/group who is sufficiently trained to provide support for the performance tools. This person/group must have comprehensive knowledge of current regulations, aircraft performance and performance software (e.g. SCAP modules) used on the EFB.

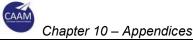


## 10.2 Appendix 2 – Electronic Charting

- 1 Description
- 1.1 An EFB software application that supports route planning, route monitoring and navigation by displaying required information and includes visual, instrument and aerodrome charts.
- 1.2 Considerations:
  - a) electronic aeronautical charts should provide, at least to a minimum, a level of information and usability comparable to paper charts;
  - b) for approach charts, the EFB software application should be able to show the entire instrument approach procedure all at once on the intended EFB hardware, with a degree of legibility and clarity equivalent to that of a paper chart;
  - c) an EFB display may not be capable of presenting an entire chart (e.g. airport diagram, departure/arrival procedures, etc.) if the chart is the expanded detail (fold-over) type;
  - d) panning, scrolling, zooming, rotating, or other active manipulation is permissible; and
  - e) for data driven charts, it should be assured that shown symbols and labels remain clearly readable, (e.g. not overlapping each other). Layers of data may be used for de-cluttering.



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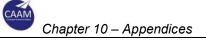
# 10.3 Appendix 3 – Taxi Aid Camera System (TACS)

- 1 Description
- 1.1 Taxi Aid Camera System (TACS) is an EFB software application to increase situational awareness during taxi by displaying real-time video of the actual external scene.
- 1.2 Considerations:
  - a) ensure real-time, live display of received imagery without noticeable timelapse;
  - b) adequate image quality during foreseeable environmental lighting conditions;
  - c) display of turning or aircraft dimension aids may be provided, (e.g. turning radius, undercarriage track width, etc.). In such cases, the information provided to the pilot should be verified to be accurate;
  - d) connection to one or more installed vision systems. Vision systems include, but are not limited to, visible light cameras, forward-looking infrared sensors and intensifying low-light level images;
  - e) operators should establish SOPs for use of the application. Training should emphasise use of as an additional resource and not as a primary means for ground navigation or avoiding obstacles; and
  - f) pilot use should not induce disorientation.



#### 10.4 Appendix 4 – Airport Moving Map Display (AMMD)

- 1 Introduction
- 1.1 This section provides some consideration on how to demonstrate the safe operational use for AMMD applications to be hosted on EFBs.
- 1.2 An EFB AMMD with own-ship position symbol is designed to assist the flight crew in orienting themselves on the airport surface to improve pilot positional awareness during taxi operations. The AMMD function is not to be used as the primary means of taxiing navigation. This application is limited to ground operations only.
- 1.3 The AMMD application is designed to indicate the aircraft position and heading (in case the own-ship position symbol is directional) on dynamic maps. The maps graphically portray runways, taxiways and other airport features to support taxi and taxi-related operations. Additionally, warning functions can be provided which notify crews about potentially dangerous conditions, i.e. inadvertently entering a RWY.
- 1.4 Considerations for AMMD:
  - an AMMD application should not be used as the primary means of taxiing navigation; primary means of taxiing navigation remains the use of normal procedures and direct visual observation out of the cockpit window;
  - b) the display of own-ship information in the AMMD application should comply with the requirements detailed in para 7.3.4 of this CAGM.



## **10.5** Appendix 5 – Electronic checklist application

- 1 Scope
- 1.1 An electronic checklist (ECL) is an EFB application that displays checklists to the flight crew by means of an EFB.
- 1.2 This guidance applies to the following:

a) an ECL displaying pre-composed information or featuring a specific HMI to display the information in an optimised way to the flight crew;

b) an ECL with or without capability to interact with the pilot to record the completion of the actions and checklists;

c) an ECL without capability to process information from the aircraft (e.g. a standalone ECL); and

Note.— The capability to process information from the aircraft is more critical and not addressed by this manual.

d) an ECL displaying only normal checklists (Non-normal/abnormal/emergency checklists and procedures are more critical and are not addressed in this manual).

1.3 Other ECL functionalities, such as those identified in the list below, may be present, in which case the operator's CAA is responsible for the establishment of the applicable basis for compliance:

a) The ECL receives information from the aircraft (e.g. senses items such as aircraft system state, switch positions). The status of the sensed items may be reflected on the checklist. For example, if an action line of a checklist indicates that a button should be pressed and the aircraft sensors sense that the button has been pressed, then the checklist display will indicate that the item has been accomplished.

b) The ECL content includes non-normal (abnormal or emergency) checklists/procedures.

- 2 HMI design and Human Factors considerations
- 2.1 The ECL system (hardware, software) should provide at least the same level of accessibility, usability and reliability as a paper checklist.
- 2.2 HMI and Human Factor considerations:
  - a) Accessibility time for any checklist should not be longer than an equivalent paper checklist.
  - b) All checklists should be easily accessible for reference or review.
  - c) The resulting pilot actions called from an ECL should be identical to a paper checklist.
  - d) The pilot should be able to clearly recognisable which items or checklists are safety relevant for the operation of the aircraft and which are of an additional nature.
  - e) Checklists should be presented in accordance with the normal sequence of flight.
  - f) The title of the checklist should be displayed and distinguished at all times when in use.
  - g) An indication of the existence of off-screen checklist content should be provided.
  - h) The end of each checklist should be clearly indicated.



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- i) The effect of switching between ECL and other EFB applications on the same hardware should be evaluated.
- 2.3 Additional HMI and Human Factor considerations for ECL with capability to interact with the pilot to record the completion of the actions and checklists:
  - a) ECL should provide a checklist overview displaying which checklists are completed and which are not.
  - b) ECL should display the completion status of action items within a checklist.
  - c) It should be possible to restart a checklist, if needed. The crew should be able to rest the checklist with a varication steo to confirm the restart.
  - d) It should be possible to uncheck an action item in a checklist, if needed.
- 3 Flight crew procedures
- 3.1 The operator should consider the impact on the pilot's workload in determining the method of use of ECL.
- 3.2 The flight crew procedure should be established to:
  - a) ensure that the flight crew verifies the validity of the ECL database before use; and
  - b) define backup procedure in case loss of ECL during flight to enable access to checklists at any time (e.g. to include scenarios regarding power loss, software malfunction).
- 4 Administration
- 4.1 The operator should also establish a consistent and methodical process for modifying the ECL data and updated data transmission and implementation on the EFBs. Such processes should include a method for database applicability verification to individual aircraft in the operator's fleet.
- 4.2 ECL populated data content should:
  - a) be concise, simple, clear and unambiguous; and
  - b) ensure consistency between aircraft manufacturer provided data and operator customised data (e.g. language, terminology, acronyms)



## 10.6 Appendix 6 – In-flight weather (IFW) application

1 Definition

In the context of this manual, in-flight weather (IFW) is an electronic flight bag (EFB) function enabling the crew to access meteorological information.

- 2 Intended Use and Limitations
- 2.1 The introduction of IFW is supplemental to the information required by Annex 3 Meteorological Service for International Air Navigation. It would contribute to increased situational awareness and support the flight crew when making strategic decisions.
- 2.2 The IFW application could be used to access both information required to be on board (e.g. world area forecast system (WAFS) data) and supplemental weather information.
- 2.3 Use of IFW should be non-safety-critical and not necessary for the performance of the flight.
- 2.4 In order to be non-safety-critical, IFW should not be used to support tactical decisions and/or substitute certified aircraft systems (e.g. weather radar).
- 2.5 Information from the official flight documentation or aircraft primary systems should always prevail in case there is a contradiction with IFW information.
- 2.6 Meteorological information in IFW applications may be displayed, for example, as an overlay on aeronautical charts and geographical maps or may be a stand-alone weather depiction (e.g. radar images, satellite images).

Note.— This manual will not supersede the regulatory material contained in CAD 3.

- 3 Meteorological Information Considerations
- 3.1 Meteorological information can be forecast and/or observed, and can be updated on the ground and/or in- flight. It should be based on data from providers approved by the meteorological authority concerned or other sources approved by the operator.
- 3.2 The meteorological information provided to the flight crew should, as far as possible, be consistent with the information available to ground-based users (e.g. airline operations centre (AOC), dispatcher) in order to establish common situation awareness and to facilitate collaborative decision-making.
- 4 Display Considerations
- 4.1 Meteorological information should be presented to the flight crew in a format that is appropriate to the content of the information; graphical depiction is encouraged whenever practicable.
- 4.2 Presentation should include:
  - a) type of information contained in the meteorological information (e.g. forecast or observed);
  - b) currency or age and validity time of the meteorological information;
  - c) information necessary for interpreting the meteorological information (e.g. legend); and
  - d) a clear indication of any missing information or data in order for the flight crew to determine areas of uncertainty when making hazardous weather avoidance decisions.



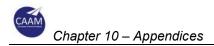
- 4.3 If meteorological information is overlaid on aeronautical charts, special considerations should be given to human-machine interface (HMI) issues in order to avoid adverse effects on the basic chart functions.
- 4.4 Meteorological information may require reformatting for cockpit use, for example, to accommodate display size or depiction technology. However, any reformatting of meteorological information should preserve both the geo-location and intensity of meteorological conditions regardless of projection, scaling or any other types of processing.
- 4.5 IFW display should, as far as possible, be consistent with the flight-deck design philosophy in terms of location of titles, location and visual representation of legends, element size, labelling and text styles, etc.
- 4.6 It is recommended that the IFW is able to display the meteorological information in relation to the route or operational flight plan, in order to ease interpretation of forecast information.
- 5 Training and Procedures
- 5.1 The operator is required to specify standard operating procedures (SOPs) specifying the use of IFW information.
- 5.2 Adequate training should be provided for the use of IFW. Training should address the following:
  - a) limitations of the IFW, in particular those presented in section 6.2;
  - b) latency of observed meteorological information and the hazards associated with utilisation of old information;
  - c) that IFW information beyond Annex 3 specifications is supplementary to the required information;
  - d) use of the application;
  - e) different types of displayed information (e.g. forecast or observed);
  - f) symbology (e.g. symbols, colours);
  - g) interpretation of meteorological information;
  - h) identifying failures (e.g. incomplete uplinks, datalink failures, missing information);
  - i) avoiding fixation; and
  - j) managing workload.
- 6 Note
- 6.1 Consideration should be given to the speed of technological development. The authority providing or arranging for the provision of meteorological service for international air navigation on behalf of a Contracting State (meteorological authority) should collaboratively work with the stakeholders to assess and, if requirements are met (e.g. actuality, latency, accuracy), enable new service implementation.
- 6.2 Whenever possible, future comparable information display functions, e.g. volcanic ash, solar radiation, should consider this guidance unless specific guidance is available.



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#### 10.7 Appendix 7 – EFB Policy and Procedures Manual

- 1 The following are typical contents of an EFB policy and procedures manual that can be fully or partly integrated in the Operations Manual, as applicable.
- 2 The structure and content of the EFB policy and procedures manual should correspond to the size of the operator, the complexity of its activities and the complexity of the EFB used.
  - a) Introduction
    - 1) EFB general philosophy
    - 2) EFB limitations
    - 3) EFB approved hardware and software applications
  - b) EFB management
    - 1) Responsibilities
    - 2) Data management
    - 3) Updates and changes management
  - c) Hardware description
    - 1) EFB system architecture
    - 2) Hardware configuration control
  - d) Software description
    - 1) Operating system description
    - 2) List and description of applications hosted
  - e) Flight crew training
  - f) Operating procedures
  - g) Maintenance considerations
  - h) Security considerations

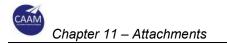


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# 11 Attachments

## 11.1 Attachment A – Evaluation Checklist

- 11.1.1 Checklist items are designed so that some questions may not be applicable (check "N/A"). Questions answered as "NO" are meant to allow identifying deficiencies that should be corrected and revalidated prior to approval being issued.
- 11.1.2 The corresponding documents should be listed under "REMARKS".
- 11.1.3 The evaluation checklist is in part 5 of the application form and may be obtained from the CAAM website. <u>https://www.caam.gov.my/e-services-forms/air-operations/</u>



## 11.2 Attachment B - Sample of EFB feedback form

ELECTRONIC FLIGHT BAG	Issue Date	xx-xxx-xx
FEEDBACK FORM	Page	1

		Batt	Battery %	
	Signature	e Start	End	
Crew Name 1				
Crew Name 2				
Crew Name 3				
Crew Name 4				

#### **Flight Details**

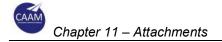
Flight Date (UTC)			
Flight No		Sector	
A/C Registration	9M -		

Note: Crew to fill up separate form for non-day return flights.

1. What was the battery percentage of each EFB at the start and end of the flight? Please fill up in the table above.

2.	Are y	ou aware of the EFB Policies and Procedures laid	□ YES	
	out ir	n :		
	i.	OM-A Chapter XXX		
	ii.	QRH Supplement Abnormal Procedures Single		
		iPad Failure, Dual iPad Failure and Suspect of EMI		
		Abnormal Checklist		
	iii.	QRH Abnormal and Emergency Procedures > Fire		
		Protection > Smoke/Fire from Lithium Battery		

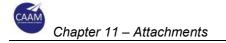
3 Was there any problem with the responsiveness of the **YES NO** EFB? If yes, please specify in question 10.



	ELECTRONIC FLIGHT BAG	Issue Date	XX-XXX-XX
	FEEDBACK FORM	Page	2
4	Did you experience any Electromagnetic Interference (EMI) with the flight instruments during flight?	□ YES	□ NO
5.	Was there any software crash encountered during flight? If yes, please specify in question 10, the problem including the name of the app that was used when it crashed.	□ YES	□ NO
*6.	Did the EFB + Mount assembly obstruct any movement and/or view during flight?	□ YES	□ <b>NO</b>
*7.	Were there any difficulties encountered with the swivel arm (i.e. loose mount even after swivel arm is clamped). If yes, please specify in question 10.	□ YES	□ NO
*8.	Was there any difficulties attaching/detaching the EFB to/from the mount? If yes, please specify in question 10.	□ YES	□ <b>NO</b>
*9.	Did the EFB mount detach unexpectedly from the windscreen during flight?	□ YES	□ <b>NO</b>
10	If you experienced any problem, or have any other feedba covered above, please explain below. (Please include exac phase of flight when problem occur; actions taken to recti	t detail of pr	oblem;

Please submit completed forms to Flight Dispatch. Thank you for your time in completing this form.

Usage of EFB are only allowed during NON-CRITICAL phases of flight during evaluation phase. \*Please ignore questions 6-9 for aircraft equipped with INSTALLED EFB, or state N/A if not applicable



# 11.3 Attachment C – EFB application form

11.3.1 The Latest Application form (CAAM/BOP/SPA/EFB) may be obtained from the CAAM Website. <u>https://www.caam.gov.my/e-services-forms/air-operations/</u>